

Analytical Electron Microscopy of Interfacial States in 4H-SiC/SiO₂ MOS Devices

Joshua Taillon^{1†}, Voshadhi Amarasinghe², Sarit Dhar³, Leonard Feldman²,
Tsvetanka Zheleva⁴, Aivars Lelis⁴, and Lourdes Salamanca-Riba¹

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Sheraton – Beacon B

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¹ Materials Science and Engineering University of Maryland, College Park, MD

² Institute for Advanced Materials, Rutgers University, New Brunswick, NJ

³ Physics, Auburn University, Auburn, AL

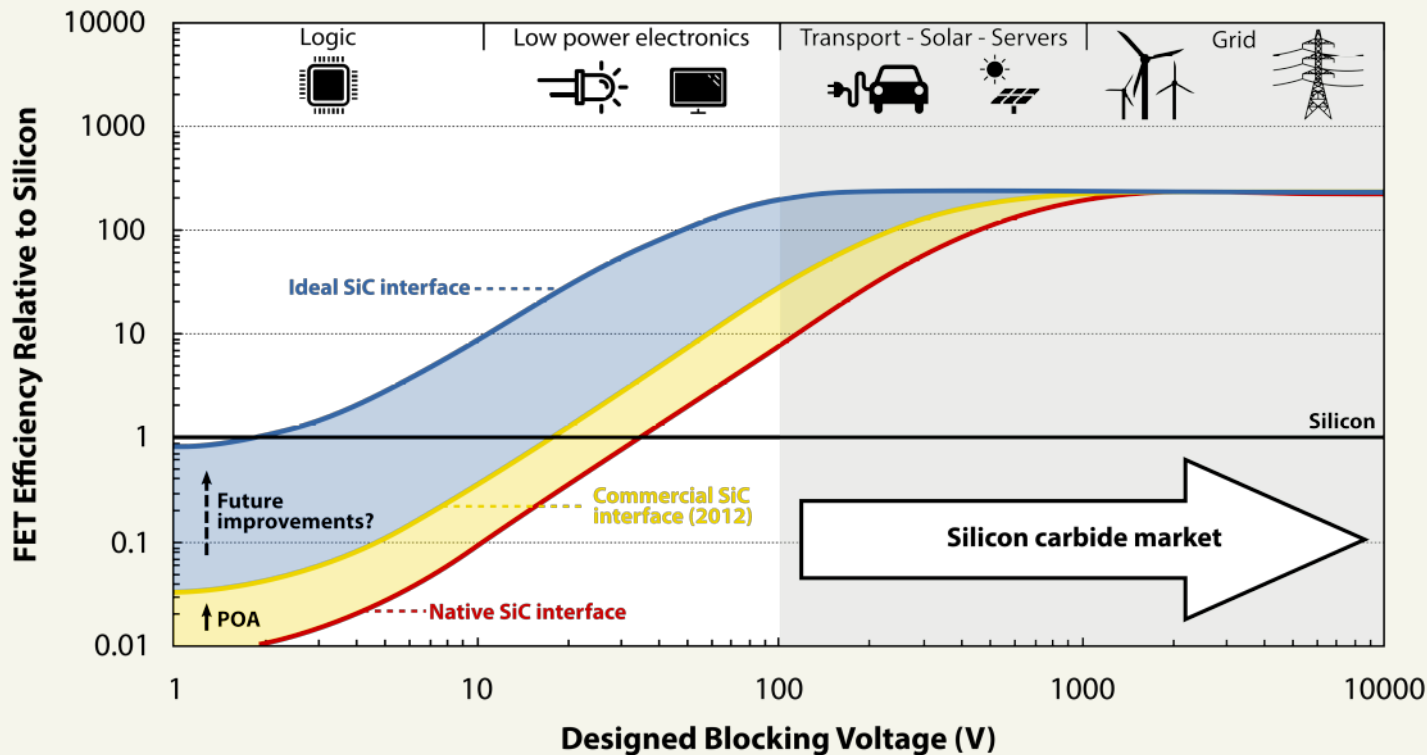
⁴ U.S. Army Research Laboratory, Adelphi, MD

[†] *Present address:* Material Measurement Laboratory, National Institute of Standards and Technology, Gaithersburg, MD

Why silicon carbide (SiC)?

**Projected SiC + GaN revenues
17x to \$2.5B by 2023**

(Semiconductor Today, 2016)



- Wide bandgap
- Good properties
- Native SiO₂
- More efficient than Si at high voltage

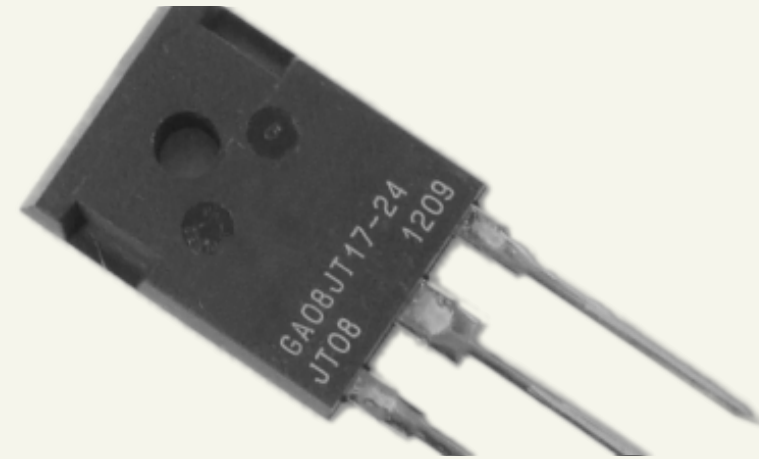
Efficiency comparison of SiC vs. Si
(Adapted from Rozen, 2012)

Unresolved problems

- **Electrically active defects limit:**
 - Carrier mobility
 - Reliability
 - Device stability

- **SiC: Very promising for high temperature, high power, and high radiation environments**
 - NO post oxidation anneal (POA) drastically improves performance
 - Phosphorus and boron potential next-generation techniques

- **What is the true nature of the interface, and how do our processing techniques really affect it?**
 - Our (and others') work indicates a distinct transition region (EELS)¹⁻²
 - Others suggest abrupt transition; only roughness (XPS, MEIS, etc.)³⁻⁴



¹ J. Taillon, L. Salamanca-Riba, et al., *J. Appl. Phys.* 113, 044517 (2013).

³ H. Watanabe, et al., *Appl. Phys. Lett.*, **99**(2), 021907 (2011).

² K. C. Chang, et al. *J. Appl. Phys.* **97**, 104920 (2005).

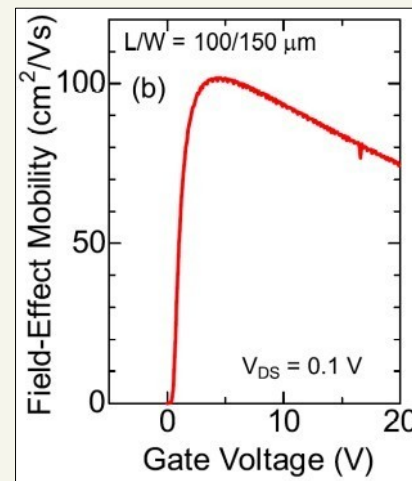
⁴ X. Zhu, et al., *Appl. Phys. Lett.*, **97**(7), 071908 (2010).

Specific effects investigated

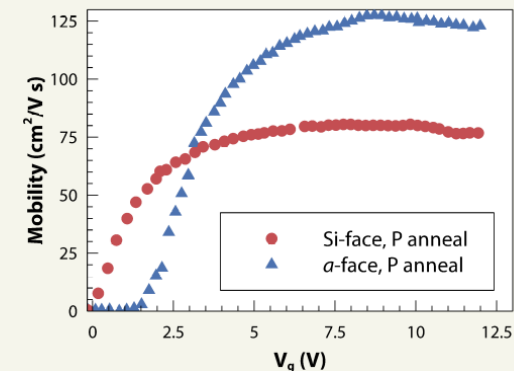
- For NO-annealed devices:
 - Does the orientation of the substrate affect incorporation of nitrogen?
 - Why such a drastic improvement on the *a*-face?
- Next-generation processing
 - Analysis of phosphorus and boron incorporation
 - How do these passivations differ from NO annealing?

	Peak μ_{FE} (cm ² /V s)
<i>a</i> -face	83
Si-face	42

Mobility of *a*-face vs. Si-face with NO post-anneal (Liu, 2013)



High μ in B-passivated device (Okamoto, 2014)

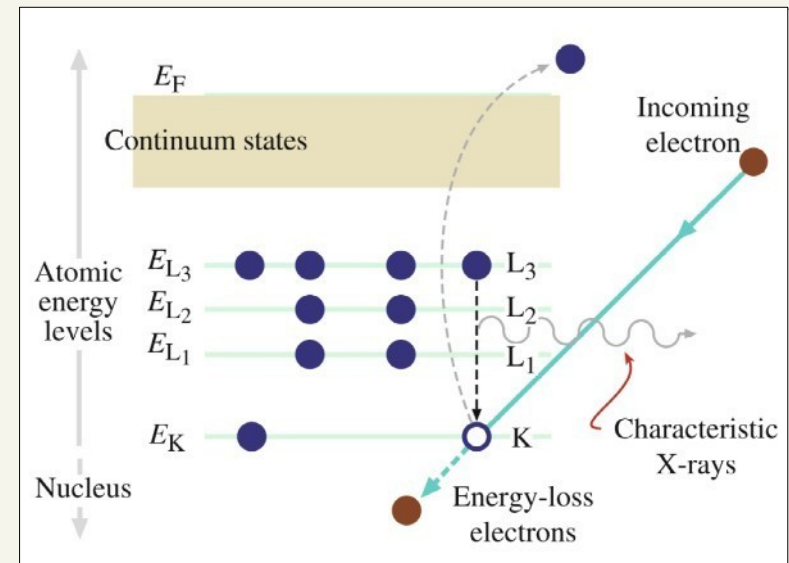


High μ in P-annealed devices (Liu, 2013)

(Very) Brief introduction to TEM-EELS

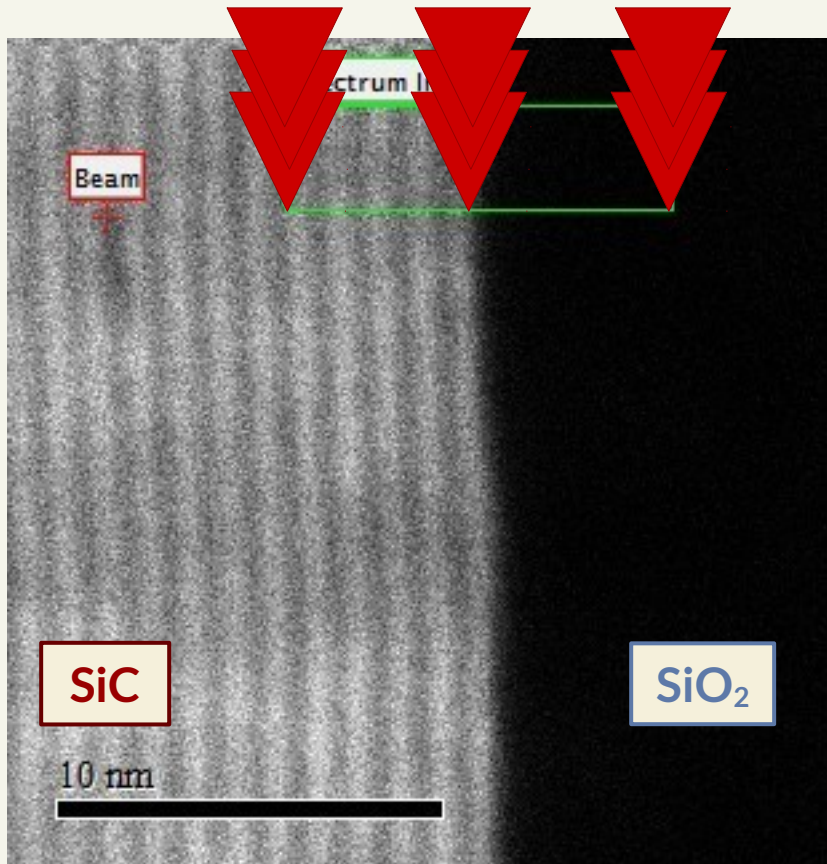


Electron Energy Loss Spectroscopy



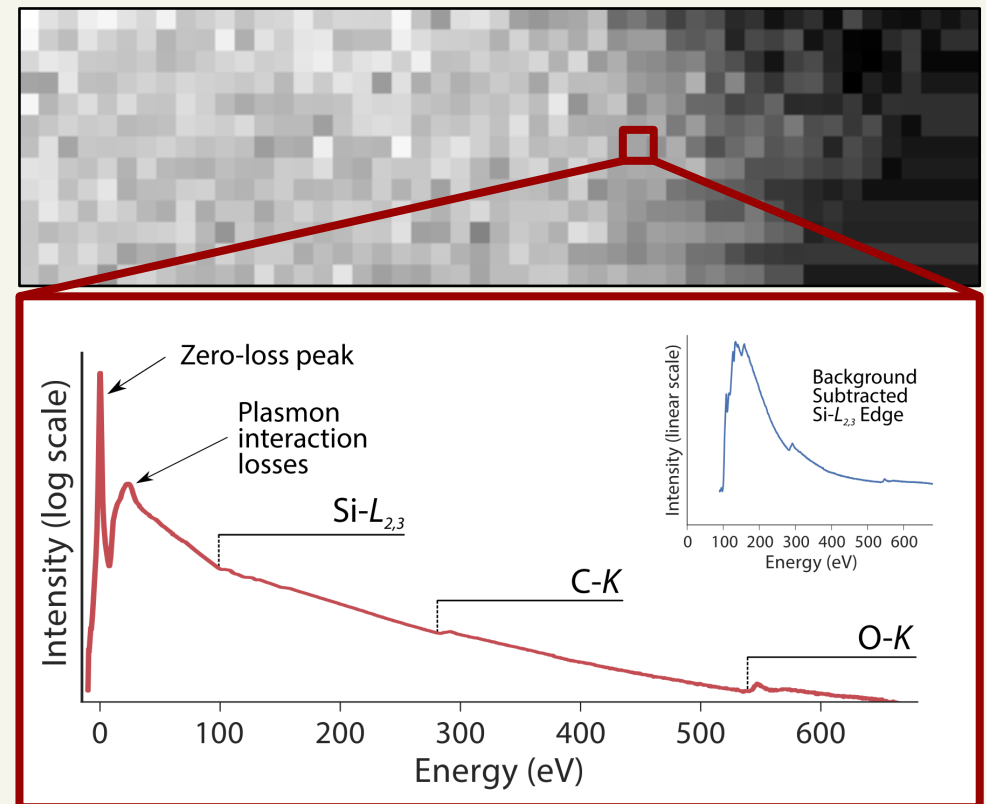
EELS energy band
schematic
(Williams and Carter, 2009)

EELS Spectrum Imaging

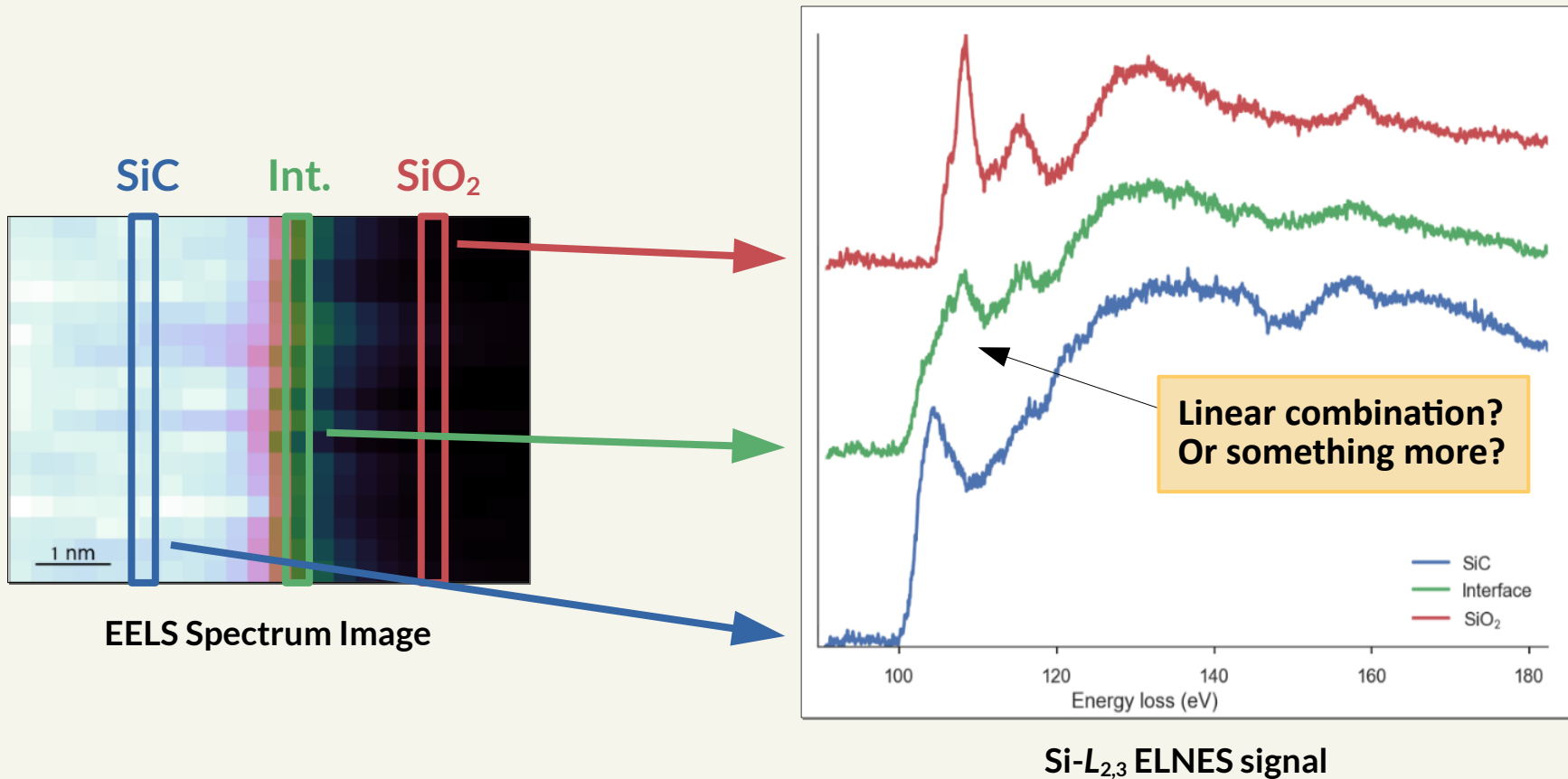


STEM survey image at interface

EELS spectrum collected at each point

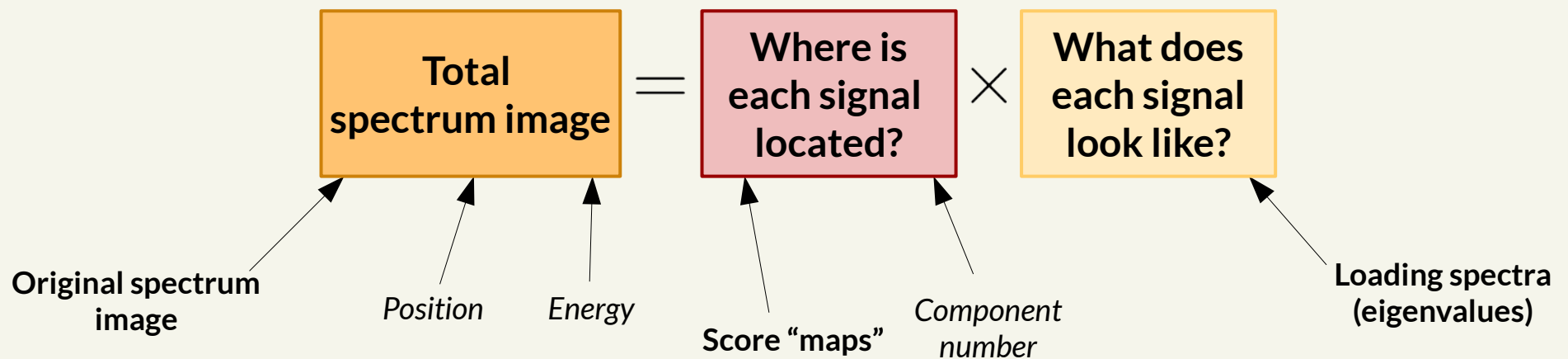


What is at the interface?



Hyperspectral decomposition (or unmixing)

- Technique to recover multiple unknown signals from a spectrum image
- Consider a spectrum image as a matrix, and use matrix decomposition:

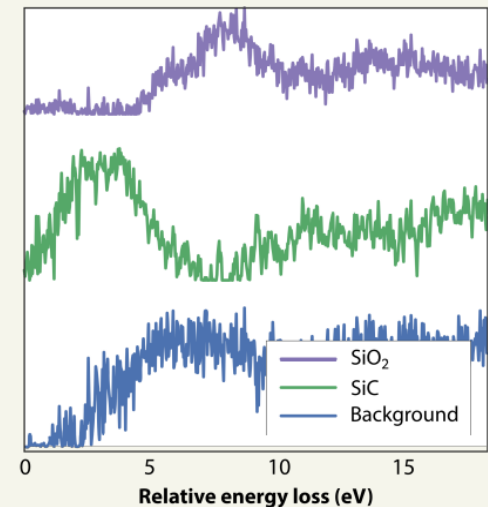
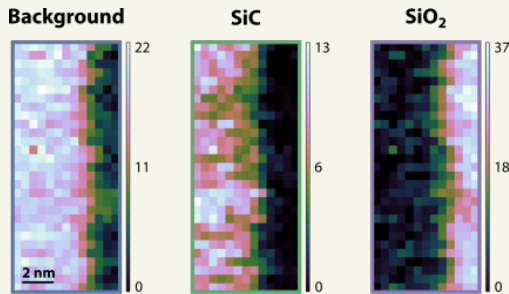


- **Any number of decomposition strategies can be used**
 - Non-negative Matrix Factorization (NMF) is very suitable for EELS data
 - Unbiased; unsupervised; only assumption is positivity of data

Unmixing of Si- $L_{2,3}$ EELS signal

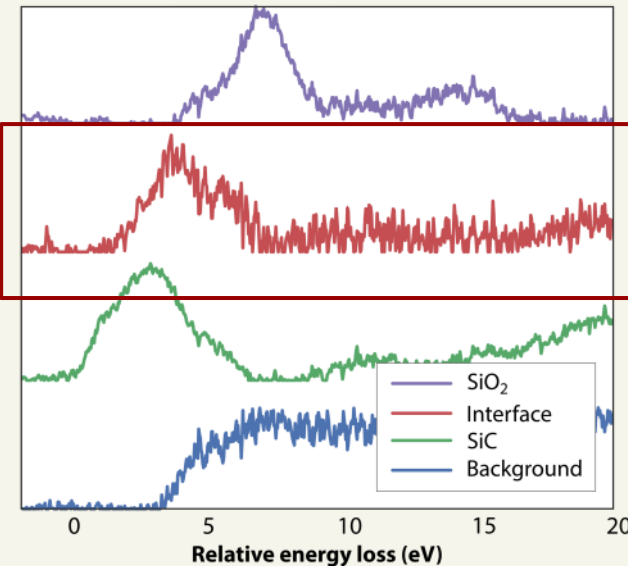
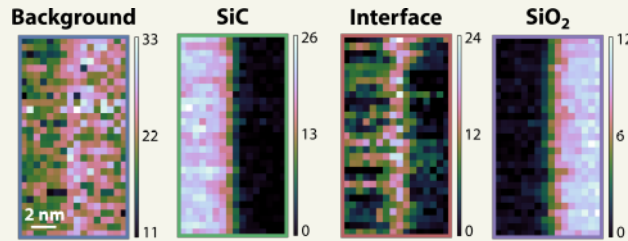
5 B Boron 10.811	6 C Carbon 12.011	7 N Nitrogen 14.007
13 Al Aluminum 26.981	14 Si Silicon 28.085	15 P Phosphorus 30.974

Oxidized



a-face on-axis

NO Anneal



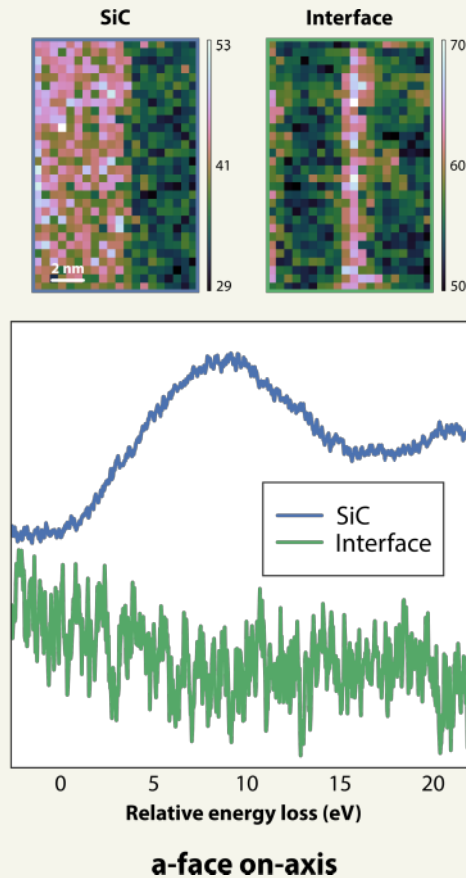
a-face on-axis

- No significant variation between different orientations
 - a-face results shown
- NO anneal gives rise to interfacial state in all samples
 - No such state in samples only oxidized
 - Very similar to Si₃N₄ signal

Unmixing of C-K EELS signal

5 B Boron 10.811	6 C Carbon 12.011	7 N Nitrogen 14.007
13 Al Aluminum 26.981	14 Si Silicon 28.085	15 P Phosphorus 30.974

NO Anneal

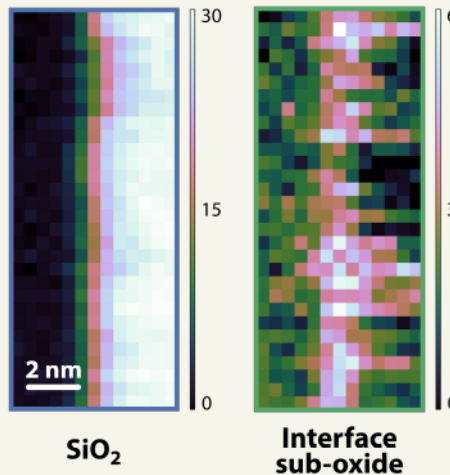
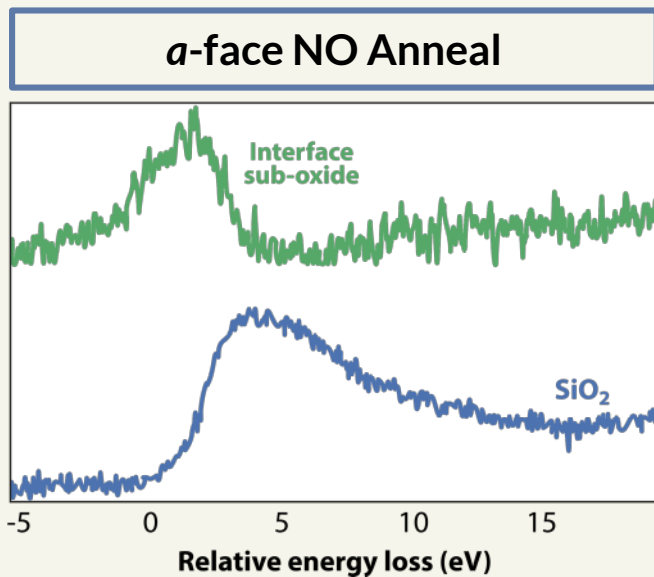


- NO anneal gives rise to interfacial state in all samples
 - No such state in samples only oxidized
- Pre-edge intensity indicative of sp^2 bonding, rather than sp^3
 - Often observed in C-N configurations
- Strong presence of N in carbon bonds

Interfacial nitrogen's effects observed in Si and C signals, in all samples

Unmixing of O-K EELS signal

5 B Boron 10.811	6 C Carbon 12.011	7 N Nitrogen 14.007
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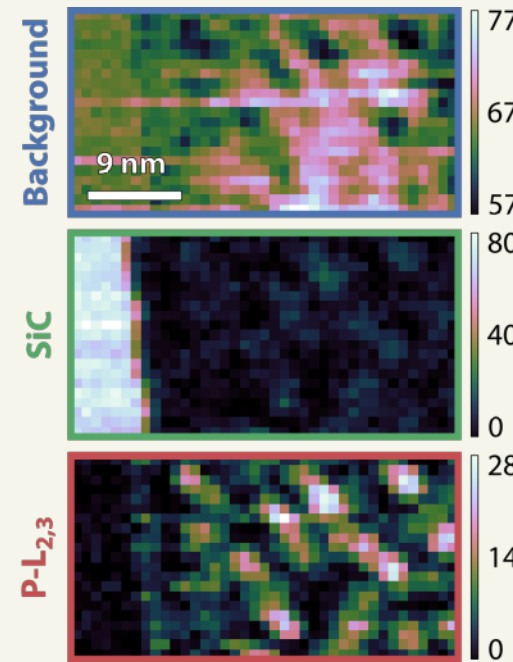
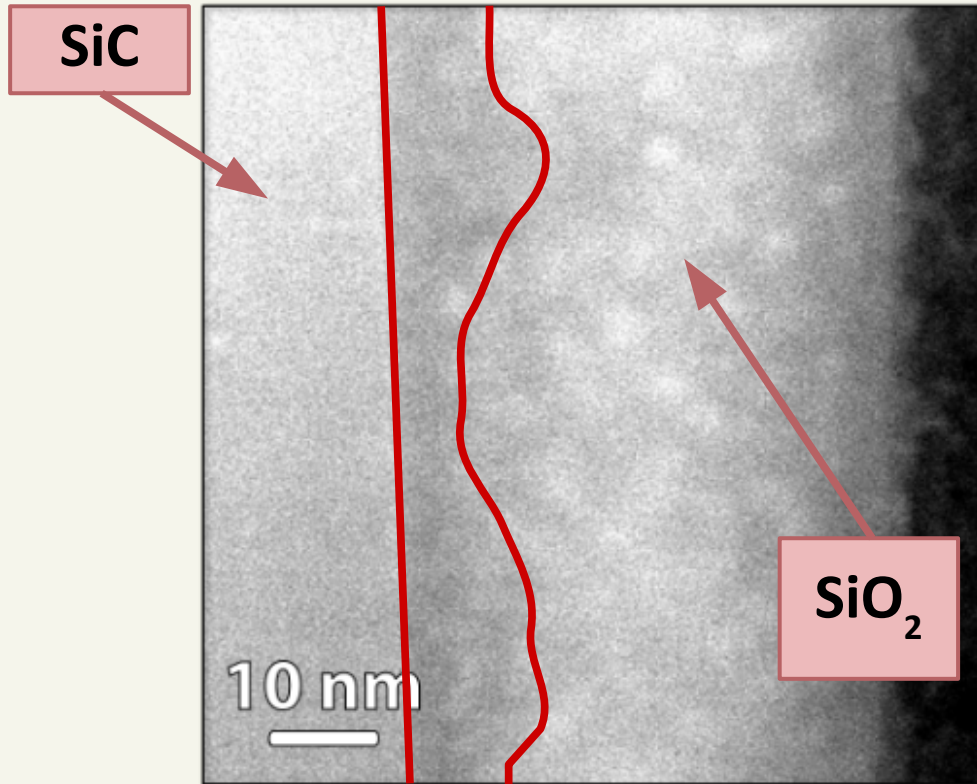
- Only sample with interfacial component was *a*-face with NO anneal
- Interface has edge onset 2-3 eV lower than SiO₂
 - Reduced bandgap
 - Increased dielectric constant
 - Enhanced mobility
- Likely part of the drastically enhanced mobility on the *a*-face
 - Silicon/carbon oxynitride configuration

Summary of crystallographic orientation effects

- **Confirmation of Si_3N_4 -like bonding, measured at Si- $L_{2,3}$ edge**
 - Further agreement between EELS and XPS results
 - Miscut/roughness alone does not appear to alter chemical states
- **Carbon bonds have sp^2 character in NO annealed devices (C-K edge)**
 - Signals the N bonds to both Si and C
- **Distinct oxygen interfacial signal only in NO annealed a -face device**
 - a -face enables additional bonding configurations that affect the oxide signal
 - Nanometer scale region of reduced bandgap likely origin of enhanced mobility in such orientations

Phosphorus anneal imaging results

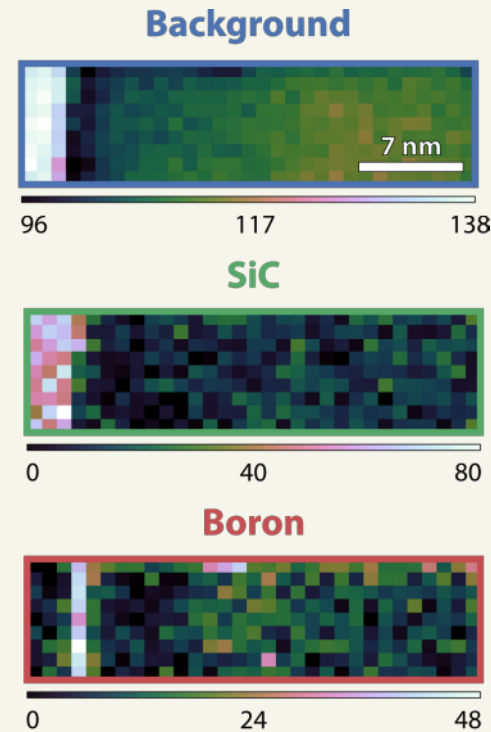
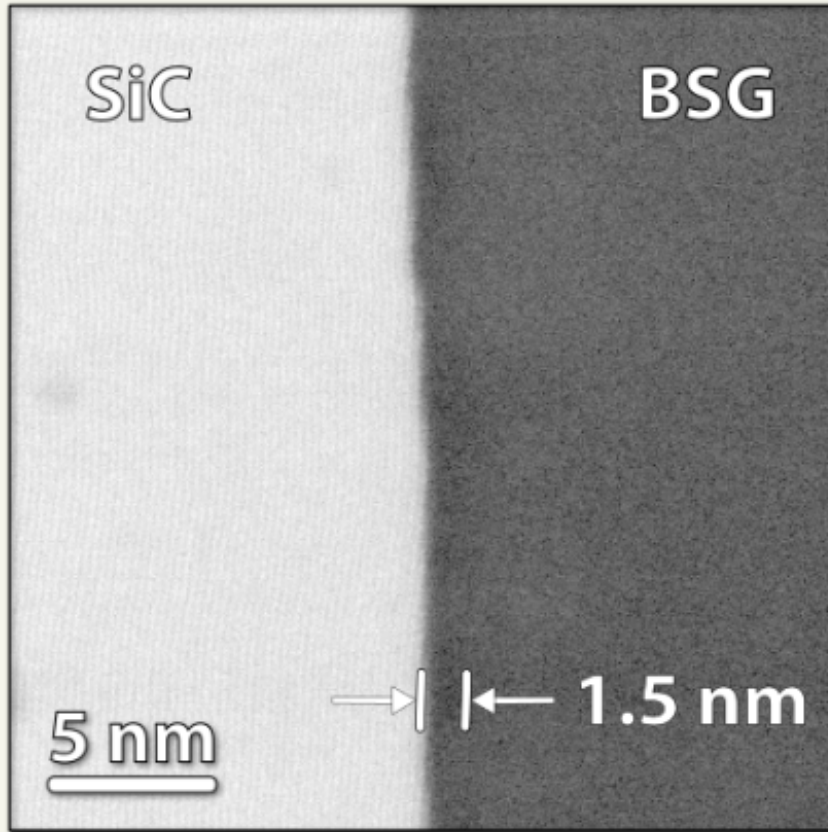
5 B Boron 10.811	6 C Carbon 12.011	7 N Nitrogen 14.007
13 Al Aluminum 26.981	14 Si Silicon 28.085	15 P Phosphorus 30.974



- HAADF-STEM (Z-contrast) shows significant difference in oxide quality
 - Bright spots correspond to higher mass
 - Non-uniformly distributed; lighter atomic mass layer 5 – 10 nm in thickness at interface

- EELS shows P-rich clusters
 - 3.6 ± 0.8 nm in diameter

Boron anneal imaging results



5 B Boron 10.811	6 C Carbon 12.011	7 N Nitrogen 14.007
13 Al Aluminum 26.981	14 Si Silicon 28.085	15 P Phosphorus 30.974

- HAADF-STEM (Z-contrast) shows more uniformity in oxide
 - Darker layer at interface about 1.5 nm in thickness
 - Corresponds to lighter mass (possibly boron)

- EELS matches expectations from HAADF-STEM
 - B-rich region near the interface (about 1.5 nm wide)
- 1.0 nm diffusion of B into SiC substrate
 - *p*-type doping origin of increased V_{th}

Phosphorus and Boron anneal summary

- **Both P and B incorporated into gate oxide differently than NO**
 - Significantly more oxide impact than observed after nitridation
- **Phosphorus distributed into nanometer sized P-rich clusters**
 - Likely to have significant impacts on polarization instability
 - Offers opportunities for gate oxide engineering (i.e. can we control phosphorus distribution?)
- **Boron segregates preferentially to the SiC/oxide interface**
 - Like NO, but with substantially more boron remaining throughout the BSG layer
 - B diffuses into SiC, and distribution throughout oxide is not uniform

Individual contributions

- **Essentially all work except for device fabrication**
 - TEM lamellae preparation
 - TEM/EELS imaging
 - Data processing
- **Many more experiments performed**
 - Spin-etch XPS depth profiles
 - Devising method to measure w_{TL}
 - SiC work about $\frac{1}{2}$ of overall PhD work
- **Open-source software contributor**
 - HyperSpy data analysis package

Acknowledgments

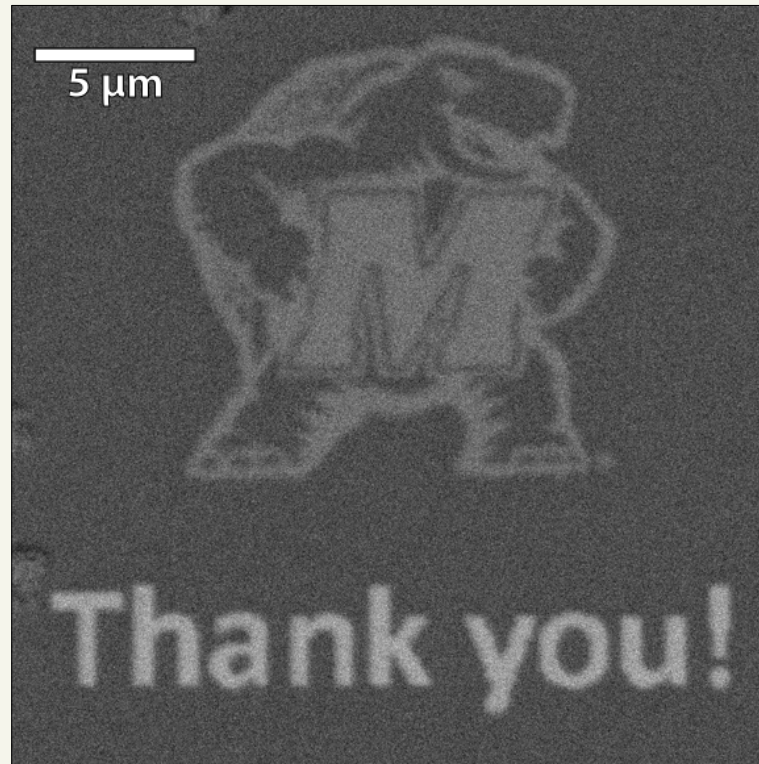
Funding/Support



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Backscatter electron image of PSG on SiC, after 2 minutes of patterning with the Gaia FIB (20pA current). Image contrast arises from the mass difference caused by Ga implantation into the sample

Facilities/Assistance



Joshua Schumacher